



**SVKM's**  
**PRAVIN GANDHI COLLEGE OF LAW**  
**IN ASSOCIATION WITH**  
**BOMBAY STOCK EXCHANGE INSTITUTE LIMITED**  
**OFFERS**  
**CERTIFICATE IN SECURITIES AND CORPORATE LAW**

**ABOUT THE COURSE**

The financial pulse of the economy is the capital markets. The emergence of these markets have enabled companies to raise the capital from the public, by giving them share in the profits of the company. Because of this unique feature of owned capital with easy transferability of shares this mode of investment is very popular globally. Since huge investments are made by the general public, to safe guard their interest a stringent law has been enacted which is to be adhered to by the companies. Raising of funds from the general public is regulated by the Securities and Exchange Board of India (SEBI). SEBI from time to time frames guidelines and regulations to be complied with by the companies failing which huge amount of penalties are levied. To facilitate buying and selling of shares, Stock Exchanges are established and regulated by statues. The whole set of laws governing capital markets are called as securities law. Compliance with securities laws is the most crucial aspect in the case of companies who wish to trade securities in the stock market. Knowledge about their provision of related legislations, their compliances, due diligence, documentation, and contracts pertaining to the securities market is pivotal for every person interested in the securities market or corporate sector.

The Certificate in Securities and Corporate Law endeavours to provide conceptual clarity about the securities market, hone analytical skills of related laws and develop problem solving skills by focusing on the application of laws in various scenarios. The programme is the blend of theoretical and applied knowledge. It's a three month week end course conducted jointly by PGCL (Pravin Gandhi College of law) and BSEIL (Bombay Stock Exchange Institute Limited).

## **OFF CAMPUS LEARNING: AN UNIQUE FEATURE OF THE COURSE**

In our endeavour to provide hands on learning application to ensure that the participants understand the practical application and approach of the course, off campus learning assistance will be provided at organisations and regulatory bodies like BSE, Quasi-Judicial Bodies, Registrar to the Issue, Companies and Law Firms. The off campus learning will be an essential component of the course and will be provided concurrently with Lectures.

## **KEY FEATURES OF THE COURSE**

- Joint certification by PGCL and Bombay Stock Exchange Institute Limited
- Emphasis on conceptual clarity and practical application
- Experienced faculty from industry
- Assistance for off Campus Learning to give practical exposure
- Discussion on contemporary case studies
- Week end programme, classes on Friday & Saturday evenings and Sunday mornings
- Lectures will be conducted at PGCL campus, 8<sup>th</sup> Floor, Mithibai College building, Vile Parle West

## **TARGET AUDIENCE**

- Lawyers
- Law Students interested in pursuing Corporate Laws
- Commerce Students interested in capital markets
- Company Secretaries & Chartered Accountants
- Working professional in the related field
- Any other person interested in securities market

## **ELIGIBILITY**

- Class XII examination (any stream).
- Minimum 50 % marks

## ADMISSION PROCEDURE

- Down load the application form from [www.pgcl.ac.in](http://www.pgcl.ac.in) or collect it from the college office
- Submit the duly completed form with the demand draft to the college office

## IMPORTANT DATES

Last date of accepting the forms	20 <sup>th</sup> December, 2017
Display of admitted participants	24 <sup>th</sup> December, 2017
Commencement of course	7 <sup>th</sup> January, 2018

## FEES

The total fees for the Certificate in Securities and Corporate Law is Rs. 29,500/- (inclusive of GST and examination fees). The fees is payable by D.D (demand draft) drawn in favour of Pravin Gandhi College of Law.

## GENERAL RULES

- Minimum 75% attendance required to qualify for the certificate
- Fees once paid is non refundable
- A student who fails to qualify the exam with minimum marks, can take exam only once in the subsequent batches of the same course if any, conducted at Pravin the Gandhi College of Law. In case of no new batch is conducted, no re-examination will be held for the students who fail to secure the minimum marks required.
- All communication for the course will be through email.

## COURSE OUTLINE

Module 1	Fundamentals and Functioning of Capital Markets
Module 2	Reading and Analysing Financial Statements
Module 3	Corporate Rights and Liabilities in Issuing Securities: Companies Act, 2013.
Module 4	Public Offering of Securities: (Documentation and Procedure, Related SEBI guidelines)
Module 5	Capital Market Specific Legislations: SEBI, SCRA., SEBI Tribunal, Corporate Ethics
Module 6	Mock Market and Seminar Presentation by the Participants
Module 7	Mergers, Acquisitions and Take Overs
Module 8	Safety Net: Issuer and Investor Protection: DIP Guide Lines and Insider Regulation
Module 9	Foreign Exchange Management Act
Module 10	Income Tax Act – Related Provisions

## EXAMINATION

Examination for certification will be conducted in two phases. Phase I examination will be conducted approximately after completion of 50 hours and Phase II at the end of the programme. Each phase would be of 200 marks.

Viva - 100 marks

Written Examination - 100 marks

Research Paper - 100 marks

*Examination would be conducted by BSE Institute Limited at Pravin Gandhi College of Law. A candidate shall be declared as to have passed the examination only if he or she secures minimum 50 % marks in the aggregate of the examinations conducted in each of the Phase.*

## **ABOUT PRAVIN GANDHI COLLEGE OF LAW**

Pravin Gandhi College of Law affiliated to the University of Mumbai is a premier law institution under the aegis of Shri Vile Parle Kelavani Mandal. This institution is committed to the ideals of excellence in law studies and also seeks to provide an environment for interdisciplinary exchanges.

This institution provides the students with varied platforms to develop their skills; by way of various activities conducted by different committees in the college. Conducting short courses in association with specialised bodies is an endeavour to this effect.

For at the end of their voyage of self-discovery students emerge confident, positive thinking individuals, ably equipped to discharge their assigned roles responsibly on a world stage.

### **For Queries**

Ms. Suman Kalani – 9867011447

Mr. Santosh Rane - 9870168874

## **ABOUT BOMBAY STOCK EXCHANGE INSTITUTE LIMITED**

Bombay Stock Exchange Institute Limited's vision is to be the most sought after learning provider in the world in areas of financial and leadership learning, by pioneering the generation and dissemination of knowledge for the enhancement of skills and capabilities of professionals and aspiring professionals

As a centre of learning, BSEIL's mission is to promote an open learning environment that brings together people, cultures and ideas from around the world, changing lives and helping transform organizations through innovative learning programs.

Through learning programs, BSEIL aims develop responsible, thoughtful leaders and entrepreneurs who create value for their organizations and their communities.

### **Address for correspondence**

Course Co-ordinator  
Certificate in Securities & Corporate Law,  
Pravin Gandhi College of Law,  
8<sup>th</sup> Floor, Mithibai College Campus,  
Bhakti Vedanta Marg,  
Vile Parle West, Mumbai – 400056.  
Ph No: 022 - 655 43915

Office Timings: 9:00 a.m to 4:00 p.m

**Website: [www.pgcl.ac.in](http://www.pgcl.ac.in)**

**Email: [pgclsecuritieslaw@gmail.com](mailto:pgclsecuritieslaw@gmail.com)**